

宇通客车股份有限公司无冲突矿产声明

Yutong Bus Co., Ltd. Responsible Mineral Sourcing Statement

宇通客车股份有限公司（以下简称“宇通客车”）深刻认识到矿产资源的开采、加工及贸易活动可能对环境保护、人权保障及社会稳定产生重要影响。部分矿产资源的开采和贸易可能直接或间接资助武装冲突、助长强迫劳动、童工及其他严重人权问题，并对生态环境造成不可逆损害。

Yutong Bus Co., Ltd. (hereinafter referred to as “Yutong Bus”) fully recognizes that extraction, processing, and trading of mineral resources may have significant impacts on environmental protection, human rights, and social stability. The extraction and trade of certain mineral resources may directly or indirectly finance armed conflicts, contribute to forced labor, child labor, and other serious human rights issues, and cause irreversible damage to the environment.

宇通客车严格遵守《中华人民共和国矿产资源法》、美国《Dodd-Frank 法案》第 1502 条、《欧盟冲突矿产条例》、《欧盟电池和废电池法规》以及生产经营所在地适用的法律法规，积极响应全球负责任矿产采购倡议，坚决反对任何直接或间接资助武装冲突、侵犯人权或破坏环境的矿产贸易行为。

Yutong Bus strictly complies with *the Mineral Resources Law of the People's Republic of China*, *Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act*, *the EU Conflict Minerals Regulation, Regulation (EU) 2023/1542 on Batteries and Waste Batteries*, and other applicable laws and regulations in regions where it operates. Yutong Bus actively supports global responsible minerals sourcing initiatives and firmly opposes any mineral trade that finances armed conflict, violates human rights, or damages the environment.

宇通客车承诺，在自身生产经营过程中，**竭尽全力确保**不采购、不使用来源于冲突影响地区和高风险区域（Conflict-Affected and High-Risk Areas, CAHRAs）并直接或间接资助武装冲突的冲突矿产或其衍生产品。宇通客车对冲突矿产采取零容忍态度，**不允许**冲突矿产进入公司采购、生产及供应链体系。

Yutong Bus undertakes to make every reasonable effort to ensure that, in its own

production and operation activities, it does not procure or use any conflict minerals or their derivatives originating from Conflict-Affected and High-Risk Areas (CAHRAs) that directly or indirectly fund armed conflicts. Yutong Bus adopts a zero-tolerance policy towards conflict minerals and prohibits conflict minerals from entering the Company's procurement, production and supply chain systems.

宇通客车致力于与供应链合作伙伴共同构建透明、负责任、可持续的无冲突矿产供应链，推动商业活动与环境保护、社会责任协同发展，共同促进全球供应链可持续发展。

Yutong Bus is committed to working together with its supply chain partners to build a transparent, responsible, and sustainable supply chain without conflict minerals, promote the coordinated development of business activities, environmental protection, and social responsibility, and jointly advance the sustainable development of global supply chains.

1 适用范围 Scope of Application

本《声明》主体为宇通客车股份有限公司，适用于为宇通客车提供产品和服务的所有合作伙伴，包括但不限于供应商、服务提供商、分包商、代理商、顾问及其他合作伙伴。

This Statement is issued by Yutong Bus and applies to all partners providing products and services to Yutong Bus, including but not limited to suppliers, service providers, subcontractors, agents, consultants, and other business partners.

2 声明内容 Statement Content

2.1 无冲突矿产承诺 Commitment to Responsible Mineral Sourcing

宇通客车承诺，在产品研发、采购、生产、制造及运营过程中，不主动采购、使用、交易任何冲突矿产或含有冲突矿产的原材料、零部件及产品。

Yutong Bus undertakes not to knowingly source, use or trade any conflict minerals, or raw materials, components and products containing conflict minerals in the course of product research and development, procurement, production, manufacturing and operation.

宇通客车重点关注金（Au）、钽（Ta）、锡（Sn）、钨（W）等冲突矿产及其

衍生物，并持续关注相关监管要求及风险范围变化。

Yutong Bus places particular focus on conflict minerals such as gold (Au), tantalum (Ta), tin (Sn), and tungsten (W), as well as their derivatives, and continuously monitors evolving regulatory requirements and risk scope.

宇通客车对冲突矿产实施严格管控，确保相关矿产及其衍生产品不进入企业生产经营活动。

Yutong Bus implements strict control measures over conflict minerals to ensure that such minerals and their derivatives do not enter its business operations.

2.2 供应链管理要求 Supply Chain Management Requirements

宇通客车要求所有供应链合作伙伴遵守本《声明》要求，并建立相应的冲突矿产管理机制。

Yutong Bus requires all supply chain partners to comply with the requirements of this Statement and establish corresponding conflict minerals management mechanisms.

供应商应确保交付给宇通客车的产品、零部件及原材料均不含来源于冲突影响地区和高风险区域、并直接或间接资助武装冲突的矿产。

Suppliers must ensure that the products, components, and raw materials delivered to Yutong Bus do not contain minerals originating from CAHRAs that directly or indirectly finance armed conflicts.

宇通客车鼓励供应商将无冲突矿产要求延伸至其上游供应链，并以同等标准管理其分包商、原材料供应商及其他合作伙伴，共同提升供应链透明度和可追溯性。

Yutong Bus encourages suppliers to extend responsible mineral sourcing requirements throughout their upstream supply chains and to manage their subcontractors, raw material suppliers, and other business partners in accordance with the same standards, thereby jointly enhancing supply chain transparency and traceability.

2.3 冲突矿产风险管理 Conflict Minerals Risk Management

宇通客车将建立并持续完善冲突矿产风险识别、评估、监测和整改机制，识

别供应链中潜在的冲突矿产风险。

Yutong Bus has established and continuously improves mechanisms for the identification, assessment, monitoring, and remediation of conflict minerals risks in order to identify potential risks within the supply chain.

重点关注以下风险：

Key risks to be addressed include:

- 矿产开采或贸易直接或间接资助武装组织； Mineral extraction or trade directly or indirectly financing armed groups;
- 供应链涉及强迫劳动、童工或其他严重人权风险； Mineral supply chains involving forced labor, child labor, or other severe human rights risks;
- 矿产开采造成重大环境污染或生态破坏； Mineral extraction causing significant environmental pollution or ecological damage;
- 矿产来源不透明、信息缺失或不可追溯。 Lack of transparency, incomplete information, or untraceable mineral sources.

对于识别出的高风险情形，宇通客车将推动供应商制定整改计划并采取风险缓释措施。

For identified high-risk situations, Yutong Bus will require suppliers to formulate corrective action plans and implement risk mitigation measures.

2.4 尽职调查与信息披露 Due Diligence and Information Disclosure

宇通客车依据《OECD 受冲突影响和高风险区域矿产负责任供应链尽职调查指南》和《宇通客车供应链 ESG 尽职调查管理办法》建立冲突矿产尽职调查管理机制，并持续开展供应链调查和风险排查。

Yutong Bus will establish a due diligence management mechanism based on the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas* and the *Yutong Supply Chain ESG Due Diligence Management Measures*, and continuously conducts supply chain investigations and risk assessments.

宇通客车要求供应商：Yutong Bus requires suppliers to:

1. 建立冲突矿产管理政策及内部管理体系； Establish conflict minerals management policies and internal management systems;

2. 开展矿产来源追溯与供应链调查；Trace mineral sources and conduct supply chain surveys;
3. 配合提交冲突矿产调查问卷、冶炼厂信息及相关证明材料；Submit conflict minerals questionnaires, smelter information, and supporting documents;
4. 确保所提供信息真实、准确、完整。Ensure that all submitted information is true, accurate, and complete.

宇通客车将通过培训、能力建设及协同管理，不断提升供应链合作伙伴的合规能力和风险管理水平。

Through training, capacity building, and collaborative management, Yutong Bus helps its supply chain partners strengthen their compliance and risk management capabilities.

3 监督与报告 Supervision and Reporting

本《声明》所载内容由宇通客车可持续发展管理相关部门负责监督实施。重大冲突矿产风险事项、风险评估结果及整改进展应纳入企业可持续发展治理体系进行定期审查与报告。

The implementation of this Statement is supervised by Yutong's relevant sustainable development management departments. Major risk issues and remediation progress are incorporated into Yutong's sustainable development governance framework for regular review and reporting.

宇通客车建立申诉与举报机制，鼓励员工、供应商及其他利益相关方举报违反本声明的行为。

Yutong Bus has established grievance and whistleblowing mechanisms and encourages employees, suppliers, and other stakeholders to report any violations of this Statement.

4 附则 Supplementary Provisions

宇通客车将根据适用法律法规、国际标准及行业实践的发展，适时检讨并更新本《声明》内容，以确保其持续符合最新监管要求。

Yutong Bus will periodically review and update this Statement in accordance with evolving laws, regulations, international standards, and industry practices to ensure continued compliance with the latest regulatory requirements.

宇通客车保留对本《声明》的解释权。

Yutong Bus reserves the right of interpretation of this Statement.

宇通客车股份有限公司

Yutong Bus Co., Ltd.